

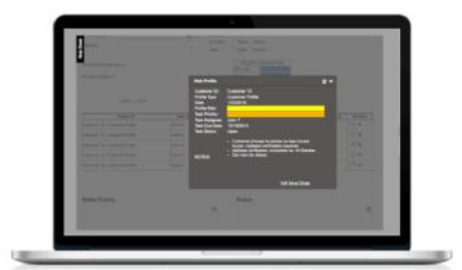


# DIGITAL COMPLIANCE



TAKING CARE OF REGULATORY REQUIREMENTS  
MANAGING COMPLIANCE IN THE CLOUD

## Case Management



### TRACK AND REPORT

Case management allows your AML officer to easily keep on top of monitoring and reporting. Reports can be printed to PDF.



### PRIORITISE

Set the actions that have higher priority and include the deadline date or date of next review. Assign responsible persons.



### RISK REGISTER

Filters enable viewing of all open or closed cases, or drill down to priority level, date due or the number of cases assigned to any person.



### CHARTS

Risk charts are a simple way of interpreting large data. They are particularly helpful for senior managers who want the info without bulk detail.



### ADMINISTRATIVE

The case management register can be used for any purpose - staff training, updates to client profiles, setting inhouse auditing.



### FILE NOTES

Record all actions taken through a simple editable online file note. This is best practice for recording and reporting.